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TANABE et al. Appln. Of: Appln. No.: 09/612,551 July 7, 2000

Filed: For:

Semiconductor Thin Film Forming System

**NEC WNZ-2212** Docket:

Received:

Supplemental Information Disclosure Statement (2 pgs)
 PTO Form 37 CFR1.97(e) (1 pg)
 Copy of Japanese Office Action (2 pgs)
 English Translation of Japanese Office Action (1 pg)
 PTO Form 1449 (1 pg)
 5 Cited References

6/19/03 kmg



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PECELVED TO 1200 1200

APPLN. OF: TANABE et al.

SERIAL NO.: 09/612,551

July 7, 2000 FILED:

Semiconductor Thin Film Forming System FOR:

GROUP: 1725

**EXAMNIER:** EVANS, GEOFFREY S.

DOCKET: NEC WNZ-2212

Commissioner for Patents P.O. Box 1450 Alexandria, 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicants hereby attach U.S. Patent Office form PTO-1449, including copies of the references listed therein. Where available, English language counterparts and/or abstracts are being provided for Examiner's convenience. These references were cited in the Japanese Office Action dated March 19, 2003 of the underlying Japanese patent application. Enclosed is a copy of the Japanese Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguished over these references.

This Supplemental Information Disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art,

1.56(A) relies on a materiality concept which depends on subjectivity. 180.00 DA

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istment date: 11/07/2003 EEKUBAY1 0/2003 JTUNSTAL 00000001 081391 180.00 CR

In compliance with the requirements of 37 C.F.R. § 1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. § 1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of foreign examiner or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted therewith.

The enclosed Supplemental Information Disclosure Statement is being submitted within three months of the Japanese Office Action, as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe there are no fees involved with this Information Disclosure Statement. In the event there are additional fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

Norman P. Soloway Attorney for Applicants Registration No. 24,315

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 1450, Al

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# STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Docket No. NEC WNZ-2212

In Re Application Of:

TANABE et al.

Serial No.

Filing Date

Examiner

Group Art Unit

09/612,551f

July 7, 2000

EVANS, GEOFFREY S.

JUN 26 2003

Invention:

Semiconductor Thin Film Forming System

JUN 2 4 2003

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

 No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Norman P. Soloway

Registration No. 24,315

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Dated: Jun 19, 2003

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I certify that this document is being deposited on

here 19,2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C.

20231.

Signature of Person Mailing Correspondence

Kathryn Grimes

Typed or Printed Name of Person Mailing Correspondence

CC: